

CLOSED

U.S. Bankruptcy Court
Northern District of California (Oakland)
Bankruptcy Petition #: 07-40129
Internal Use Only

Assigned to: Judge Leslie J. Tchaikovsky
 Chapter 7
 Involuntary
 No asset

Date Filed: 01/12/2007
 Date Terminated: 07/18/2007

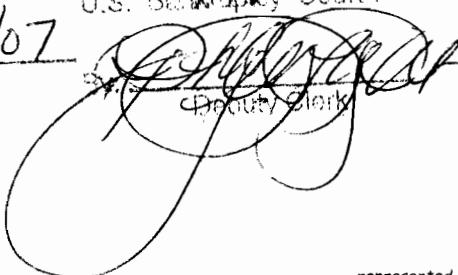
Debtor
Compass Fund Management, LLC
 6122 Acacia Avenue
 Oakland, CA 94618
 SSN: 000-00-0000

I hereby certify that the foregoing is a true and correct copy of the instrument, originated on 1/12/2007 in the Northern District of Calif.

represented by **Compass Fund Management, LLC**
PRO SE

Dated:

Gloria L. Franklin, Clerk

7/27/07 
U.S. Bankruptcy Court represented by **James S. Monroe**
 Law Offices of Nixon Peabody
 2 Embarcadero Center #2700
 San Francisco, CA 94111-3996
 (415) 984-8200
 Email: jmonroe@nixonpeabody.com

Petitioning Creditor
Roosevelt Fund, L.P., Petitioner
 c/o K5 Global Advisors, Inc.
 336 Bon Air Center
 #364
 Greenbrae, CA 94904
 U.S.A.
 fka
 A.A.G. Roosevelt Fund, L.P.
 fka
 Anira Advisory Group Roosevelt Fund, L.P.

U.S. Trustee
Office of the U.S. Trustee /Oak
 Office of the U.S. Trustee
 1301 Clay St. #690N
 Oakland, CA 94612
 (510) 637-3200

represented by **Laurent Chen**
 Office of the US Trustee
 1301 Clay St.
 Oakland, CA 94612
 510-637-3200
 Email: Laurent.Chen@usdoj.gov

Filing Date	#	Docket Text
01/12/2007	<u>1</u>	Chapter 7 Involuntary Petition. Fee Amount \$299. Filed by Petitioning Creditor (s) Roosevelt Fund, L.P. (attorney James S. Monroe) (Monroe, James) Modified on 1/16/2007 RE: STATISTICAL DATA: FILER SELECTED INDIVIDUAL INSTEAD OF CORPORATION FOR TYPE OF DEBTOR . (pw,). (Entered: 01/12/2007)
01/12/2007	<u>2</u>	Summons Not Executed on Compass Fund Management, LLC Filed by Roosevelt Fund, L.P. (Monroe, James) Modified on 1/16/2007 WRONG DOCKET EVENT USED. CORRECT PATH IS ADVERSARY->COMPLAINT/SUMMONS-> SUMMONS TO BE ISSUED. (pw,) (Entered: 01/12/2007)
01/12/2007		Receipt of filing fee for Involuntary Petition (Chapter 7)(07-40129) [misc,invol7] (299.00). Receipt number 3908651, amount \$ 299.00 (U.S. Treasury) (Entered: 01/12/2007)
01/16/2007	<u>3</u>	**CORRECTIVE ENTRY** Court corrected Type of Debtor to Corporation. (RE: related document(s)1 Involuntary Petition (Chapter 7), Involuntary Petition (Chapter 7)). (pw,) (Entered: 01/16/2007)
01/17/2007	<u>3</u>	Involuntary Summons Issued on Compass Fund Management, LLC . (pw,) (Entered: 01/17/2007)
02/05/2007	<u>4</u>	Summons Service Executed in an Involuntary Case on Compass Fund Management, LLC 1/22/2007, Answer Due 2/12/2007 Filed by Roosevelt Fund, L.P. (Monroe, James) Modified on 2/6/2007 PDF DOES NOT INCLUDE SUMMONS. (pw,) (Entered: 02/05/2007)
02/22/2007	<u>5</u>	Stipulation to Extend Time to respond to involuntary petition Filed by Interested Party Edward Sewon Ehee (RE: related document(s)4 Involuntary Summons Service Executed filed by Petitioning Creditor Roosevelt Fund, L.P., 1 Involuntary Petition (Chapter 7), Involuntary Petition (Chapter 7) filed by Petitioning Creditor Roosevelt Fund, L.P., Debtor Compass Fund Management, LLC). (Wood, James) (Entered: 02/22/2007)
02/22/2007	<u>6</u>	Certificate of Service (RE: related document(s)5 Stipulation to Extend Time,). (Wood, James) (Entered: 02/22/2007)
03/29/2007	<u>7</u>	Document: Request of Roosevelt Fund, L.P., as Petitioning Creditor, for Entry of an Order For Relief Requested in the Involuntary Petition Pursuant to Fed. R. Bankr. P. 1013(b). Filed by Petitioning Creditor Roosevelt Fund, L.P. (Attachments: # 1 Proposed Order) (Monroe, James) (Entered: 03/29/2007)
03/30/2007	<u>8</u>	Certificate of Service (RE: related document(s)7 Document,). (Monroe, James) (Entered: 03/30/2007)

04/05/2007 ¶9 Order Transferring Cases . (pw,) Modified on 4/6/2007 ERROR: INCORRECT PDF ATTACHED. PLEASE SEE DOC. # 11. (pw,) (Entered: 04/05/2007)

04/05/2007 ¶10 Judge Leslie J. Tchaikovsky added to case. Involvement of Judge Edward D. Jellen Terminated . (pw,) (Entered: 04/05/2007)

04/05/2007 ¶11 Order transferring cases. (pw,) (Entered: 04/06/2007)

04/07/2007 ¶12 BNC Certificate of Mailing - Electronic Order (RE: related document(s)9 Order). Service Date 04/07/2007. (Admin.) (Entered: 04/07/2007)

04/07/2007 ¶13 BNC Certificate of Mailing (RE: related document(s)9 Order). Service Date 04/07/2007. (Admin.) (Entered: 04/07/2007)

04/08/2007 ¶14 BNC Certificate of Mailing - Electronic Order (RE: related document(s)11 Order). Service Date 04/08/2007. (Admin.) (Entered: 04/08/2007)

04/08/2007 ¶15 BNC Certificate of Mailing (RE: related document(s)11 Order). Service Date 04/08/2007. (Admin.) (Entered: 04/08/2007)

04/09/2007 ¶16 Motion for Joint Administration and Request For a Status Conference Filed by Petitioning Creditor Roosevelt Fund, L.P. (Monroe, James) (Entered: 04/09/2007)

04/09/2007 ¶17 Notice of Hearing on Roosevelt Fund, L.P.'s Motion For Joint Administration (RE: related document(s)16 Motion for Joint Administration and Request For a Status Conference Filed by Petitioning Creditor Roosevelt Fund, L.P. (Monroe, James)). Hearing scheduled for 5/3/2007 at 03:00 PM at Oakland Room 201 - Tchaikovsky. Filed by Petitioning Creditor Roosevelt Fund, L.P. (Monroe, James) (Entered: 04/09/2007)

04/09/2007 ¶18 Memorandum of Points and Authorities in of Motion for Joint Administration and Request For a Status Conference (RE: related document(s)16 Motion for Joint Administration). Filed by Petitioning Creditor Roosevelt Fund, L.P. (Monroe, James) (Entered: 04/09/2007)

04/09/2007 ¶19 Request To Take Judicial Notice in Support of Motion for Joint Administration and Request for a Status Conference (RE: related document(s)16 Motion for Joint Administration). Filed by Petitioning Creditor Roosevelt Fund, L.P. (Monroe, James) (Entered: 04/09/2007)

04/09/2007 ¶20 Certificate of Service (RE: related document(s)16 Motion for Joint Administration, 17 Notice of Hearing, 18 Memorandum of Points and Authorities, 19 Request To Take Judicial Notice). (Monroe, James) Modified on 4/10/2007 COURT CORRECTED LINKAGE TO DOC. #5 17, 18 AND 19 (pw,). (Entered: 04/09/2007)

04/16/2007 ¶21 Certificate of Service Regarding Proposed Order (RE: related document(s)7 Document,). (Monroe, James) (Entered: 04/16/2007)

04/17/2007 ¶22 Order for Relief . Incomplete Filings due by 5/2/2007. Order Meeting of Creditors due by 4/24/2007. (pw,) (Entered: 04/17/2007)

04/19/2007 ¶23 BNC Certificate of Mailing (RE: related document(s)22 Order for Relief (Ch.7)). Service Date 04/19/2007. (Admin.) (Entered: 04/19/2007)

04/25/2007 ¶24 Brief/Memorandum in Opposition to Motion for Joint Administration (RE: related document(s)16 Motion for Joint Administration). Filed by U.S. Trustee Office of the U.S. Trustee /Oak (Attachments: # 1 Certificate of Service) (Chen, Laurent) (Entered: 04/25/2007)

04/26/2007 ¶25 Brief/Memorandum in Opposition to motion for joint administration (RE: related document(s)16 Motion for Joint Administration). Filed by Interested Party Edward Sewon Ehee (Wood, James) (Entered: 04/26/2007)

04/26/2007 ¶26 Brief/Memorandum in Opposition to request for judicial notice (conditional opposition) (RE: related document(s)19 Request To Take Judicial Notice,). Filed by Interested Party Edward Sewon Ehee (Wood, James) (Entered: 04/26/2007)

04/26/2007 ¶27 Certificate of Service (RE: related document(s)25 Opposition Brief/Memorandum, 26 Opposition Brief/Memorandum). (Wood, James) (Entered: 04/26/2007)

04/30/2007 ¶28 Motion to Withdraw the Reference of the Bankruptcy Cases of Edward Sewon Ehee, Compass Fund Management, LLC and Compass West Fund, L.P.; Memorandum of Points and Authorites Fee Amount \$150. Filed by Creditor Securities & Exchange Commission (jkw,) Modified on 5/9/2007 FEDERAL GOVERNMENT ENTITY, THEREFORE THE \$150 FEE IS WAIVED.(jkw,). (Entered: 05/03/2007)

04/30/2007 ¶29 Declaration of Xavier Carlos in Support of Motion to Withdraw the Reference of the Bankruptcy Cases of Edward Sewon Ehee, Compass Fund Management, LLC and Compass West Fund, L.P. (RE: related document(s)28 Motion for Withdrawal of Reference). Filed by Creditor Securities & Exchange Commission (jkw,) (Entered: 05/03/2007)

04/30/2007 ¶30 Notice of Pendency of Other Action or Proceeding (RE: related document(s)28 Motion to Withdraw the Reference of the Bankruptcy Cases of Edward Sewon Ehee, Compass Fund Management, LLC and Compass West Fund, L.P.; Memorandum of Points and Authorites Filed by Creditor Securities & Exchange Commission (jkw,) (Entered: 05/03/2007)

04/30/2007 **31** Certificate of Service (RE: related document(s)30 Notice, 28 Motion for Withdrawal of Reference, 29 Declaration). Filed by Creditor Securities & Exchange Commission (jkw,) (Entered: 05/03/2007)

05/03/2007 **32** MINUTES: HEARING HELD ON 5/3/07 AT 3:00 P.M.. APPEARING: RICHARD PEDONE - FOR ROOSEVELT FUND, PETITIONING CREDITOR, JAMES WOOD (BY TEL) - FOR DEBTOR EDWARD EHEE, REIDUN STROMSHEIM - FOR CH.7 TRUSTEE IN THE COMPASS WEST FUND CASE, LAURENT CHEN - FOR U.S. TRUSTEE. 1) THE SECURITIES AND EXCHANGE COMMISSION HAS FILED A MOTION TO WITHDRAW THE REFERENCE TO DISTRICT COURT. 2) HEARING CONTINUED TO 6/7/07 AT 3:00 P.M. PENDING THE OUTCOME OF THE MOTION. IF THE HEARING IN DISTRICT COURT IS SET FOR A DATE AFTER 6/7/07, PARTIES MAY CONTACT THE CALENDAR CLERK TO RESCHEDULE THE 6/7/07 HEARING. (RE: related document(s)16 Motion for Joint Administration and Request For a Status Conference Filed by Petitioning Creditor Roosevelt Fund, L.P. (Monroe, James)). Hearing to be held on 6/7/2007 at 3:00 PM Oakland Room 201 - Tchaikovsky for 16, (hb,) (Entered: 05/04/2007)

05/04/2007 **33** Document: Transmittal Letter to District Court (RE: related document(s)30 Notice, 28 Motion for Withdrawal of Reference, 29 Declaration, 31 Certificate of Service). (jkw,) (Entered: 05/04/2007)

05/14/2007 **34** Document: Return Letter re: Withdrawal of Reference with District Court # C 07 2507 MHP . (RE: related document(s)28 Motion for Withdrawal of Reference, 32 Document). (pw,) (Entered: 05/15/2007)

05/30/2007 **35** Document: Plaintiff Securities and Exchange Commission's Notification of Hearing Date and Briefing Schedule for Motion to Withdraw Bankruptcy Reference (District Court C-06-6966SI) . (pw,) (Entered: 06/01/2007)

06/07/2007 **36** **COURT ENTRY** OBJECTION TO MOTION TO WITHDRAW REFERENCE AND CERTIFICATE OF SERVICE THEREON FILED IN BANKRUPTCY COURT. MAILED TO DISTRICT COURT 6/12/07 (RE: related document(s)28 Motion for Withdrawal of Reference Fee Amount \$150.). (Attachments: # 1 Certificate of Service) (pw,) (Entered: 06/12/2007)

06/22/2007 **37** District Court's Order Granting SEC's Motion to Withdraw Bankruptcy References. (RE: related document(s)34 Document, 28 Motion for Withdrawal of Reference, 33 Document, 32 Document). (jkw,) (Entered: 07/20/2007)

07/05/2007 **38** MINUTES: HEARING DROPPED ON 7/5/07 AT 3:00 P.M.. NO APPEARANCES. MATTER WAS TAKEN OFF CALENDAR PRIOR TO THE HEARING. DISTRICT COURT HAS WITHDRAWN BANKRUPTCY REFERENCES. (RE: related document(s)16 Motion for Joint Administration and Request For a Status Conference Filed by Petitioning Creditor Roosevelt Fund, L.P. (Monroe, James)). (hb,) (Entered: 07/05/2007)

07/18/2007 **39** Bankruptcy Case Closed . (pw,) (Entered: 07/18/2007)